

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
026018.46631

In Re Application Of: **Peng Lee**

Application No.

10/680,377

Filing Date

October 7, 2003

Examiner

Customer No.

28,172

Group Art Unit

Confirmation No.

Title: **Termite Acoustic Detection**

Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

026018.46631

In Re Application of: Peng Lee

Application No.

10/680,377

Filing Date

October 7, 2003

Examiner

Customer No.

28,172

Group Art Unit

Confirmation No.

Title: Termite Acoustic Detection

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0858 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated:

10-28-05

CC:

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

4

Complete if Known

Application Number	10/680,377
--------------------	------------

Filing Date	October 7, 2003
-------------	-----------------

First Named Inventor	Peng Lee
----------------------	----------

Art Unit

Examiner Name

Attorney Docket Number | 026018.44631

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known) ²			
	AA	US- 6,751,342		06/15/04	Steven Shepard	
	BB	US- 6,714,017		03/30/04	Enachescu et al.	
	CC	US- 6,516,084		02/04/03	Steven Shepard	
	DD	US- 6,192,325		02/20/01	Piety et al.	
	EE	US- 6,028,625		02/22/00	Michael Cannon	
	FF	US- 5,834,661		11/10/98	Nonaka et al.	
	GG	US- 5,742,335		04/21/98	Cannon	
	HH	US- 5,719,395		02/17/98	Lesniak	
	II	US- 5,637,871		06/10/97	Piety et al.	
	JJ	US- 5,631,465		05/20/97	Shepard	
	KK	US- 5,444,241		08/22/95	Del Grande et al.	
	LL	US- 4,768,158		08/30/88	Takahito Osanai	
	MM	US- 4,647,220		03/03/87	Adams et al.	
	NN	US- 4,550,376		10/29/85	Robert Maciejczak	
	OO	US- 3,791,097		02/12/74	Cassella et al.	
	PP	US- 20040162710		08/19/04	Schwartz	
	QQ	US- 20030230717		12/18/03	Reilly et al.	
	RR	US- 20010001851		05/24/01	Piety et al.	
		US-				
		US-				

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known	
	Application Number	10/680,377		
	Filing Date	October 7, 2003		
	First Named Inventor	Peng Lee		
	Art Unit			
	Examiner Name			
Sheet	2	of	4	Attorney Docket Number
				026018.44631

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AC	Thermal Inspection Services (http://www.thermalinspections.com) "Residential Inspections" New Home Inspections	
	AD	"Infrared Building Science" Infrared Training Center	
	AE	SBA Thermographics" Infrared Thermography July 21, 2001	
	AF	Infrared Thermography http://www.maverickinspection.com/inelectapp.html Maverick	
	AG	Infrared Thermography http://www.maverickinspections.com	
	AH	Mark Gilbert, "Thermal Imaging Puts Termite in the Red" National Center for Preservation Technology and Training, November, 2001	
	AI	Jan Suszkin, "Taking Aim at Formosan Subterranean Termites" Agricultural Research, October, 2000, Vol. 48, No. 10, pg. 12-15	
	AJ	David Rice, General Thermography, Snell Infrared Message-boards, 8 November 2000	
	AK	Amy Spillman, "Operation Full Stop: STopping the Swarm" Agricultural Research, July, 2003 - Vol. 51 No. 7, pg. 4-8	
	AL	USDA Agricultural Research Service, 2004 Annual Report	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/680,377	
			Filing Date	October 7, 2003	
			First Named Inventor	Peng Lee	
			Art Unit		
			Examiner Name		
Sheet	3	of	4	Attorney Docket Number	026018.44631

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AM	John Snell, "Thermographic Applications" Snell Infrared Message boards, 22 July 2002, USA	
	AN	National Park Service; FY 2004 Budget Justifications, Activity: Cultural Programs, pg. NR pg. 30-44, FY 2002 pg. 37	
	AO	Jon L. Grossman, "IR Thermography as a Tool for the Pest Mangement Professional" IR Info 2004 Proceedings Paper	
	AP	Ken James, "Finding Termites with Thermal Imaging" Infra mation 2002, September 29 - October 2, 2002 Orlando, Florida	
	AQ	Bill Craft "Re: Locate Hidden Termite Damage" Snell Infrared Message boards 27 December 1997	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.